# THE UNIVERSITY of York



# The Importance of Topology Evolution in NeuroEvolution: A Case Study using Cartesian Genetic Programming of Artificial Neural Networks

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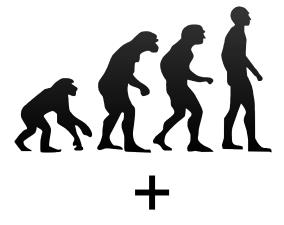
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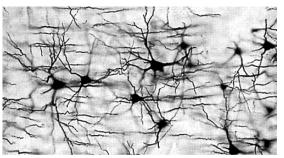




# **Application of Evolutionary Algorithms towards training Neural Networks**

- Key Advantages
  - No restraint on activation functions
  - No restraint on topology
  - Can escape local optima
  - Applicable to reinforcement learning







### Two types of NeuroEvolution

- Weight Evolving fixed topology
- Topology & Weight Evolving

- 1) Does the choice of topology impact on the effectiveness of weight only NeuroEvolution?
- 2) Is evolving topology beneficial for neuroEvolution?



#### Neuro Evolution Methods

#### Conventional NeuroEvolution

- CNE
- Simplest and oldest (1990's)
- Evolves connection weights
- Fixed user defined topology

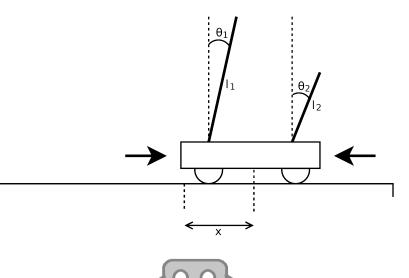
#### Cartesian Genetic Programming Artificial Neural Networks

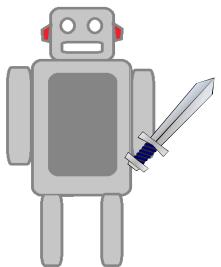
- CGPANN
- Based on Cartesian Genetic Programming
- Evolves connection weights
- Evolves topology
- Feed-forward & recurrent
- Evolves neuron transfer functions functions



- Double Pole balancing
  - Control task
  - Reinforcement learning

- Monks Problem 1
  - Classification task
  - Supervised learning





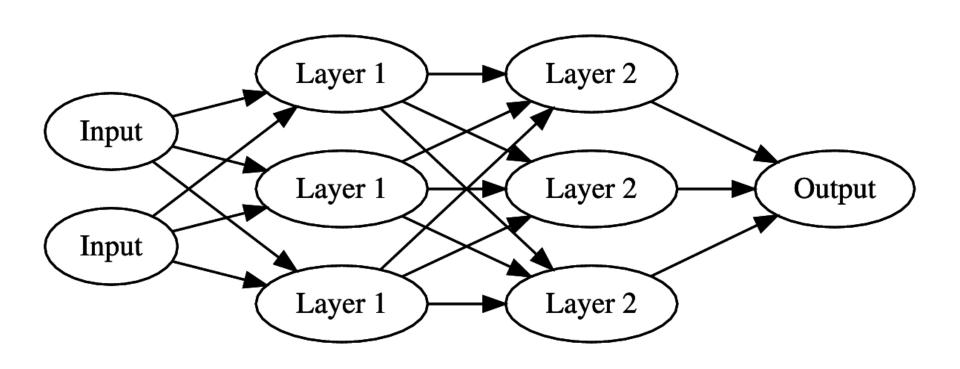


# Does the choice of topology impact on the effectiveness of weight only NeuroEvolution?

- Using Conventional NeuroEvolution
- Sweep a range of topologies
  - Number of hidden layers (0->19)
  - Number of neurons per hidden layer (1->20)
  - That's 400 separate topologies!
- Compare the fitnesses achieved for each topology
  - After 5000 generations (Avg 50)



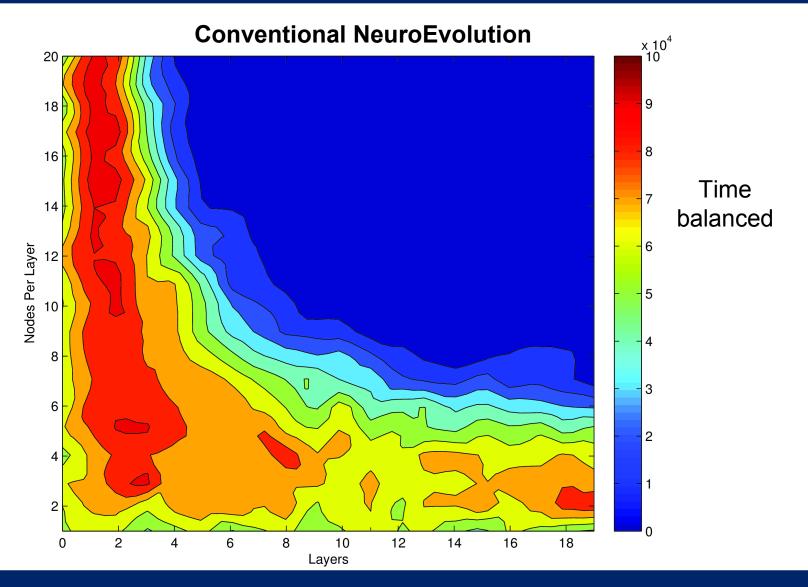
## Layers and Nodes per Layer



2 x hidden layers 3 x nodes per layer Fully Connected between layers

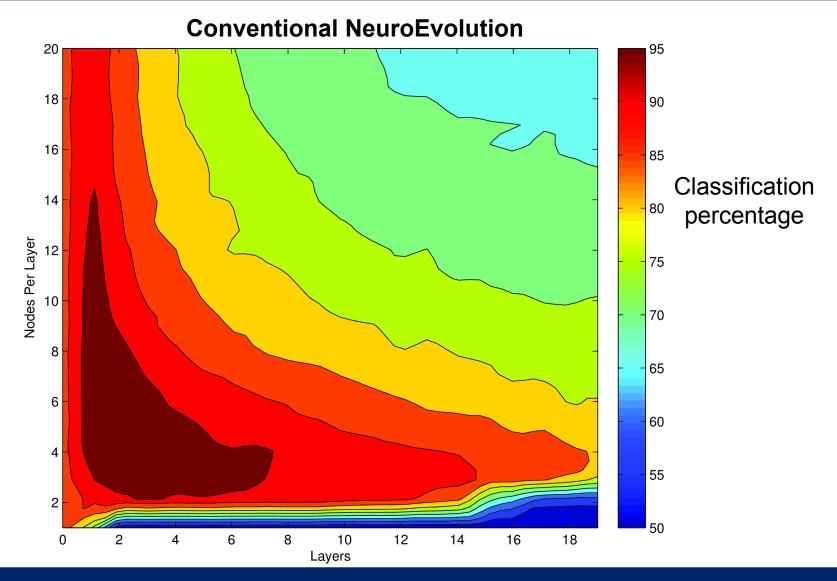


### Experiment 1 - Double Pole





## Experiment 1 - Monks Problem





## Experiment 1 - Results

- Topology does influence the effectiveness of weight only NeuroEvolution.
- And as subtitle topologies are often not known in advance of training, this is a disadvantage.
  - And one shared with other non topology optimising training methods.



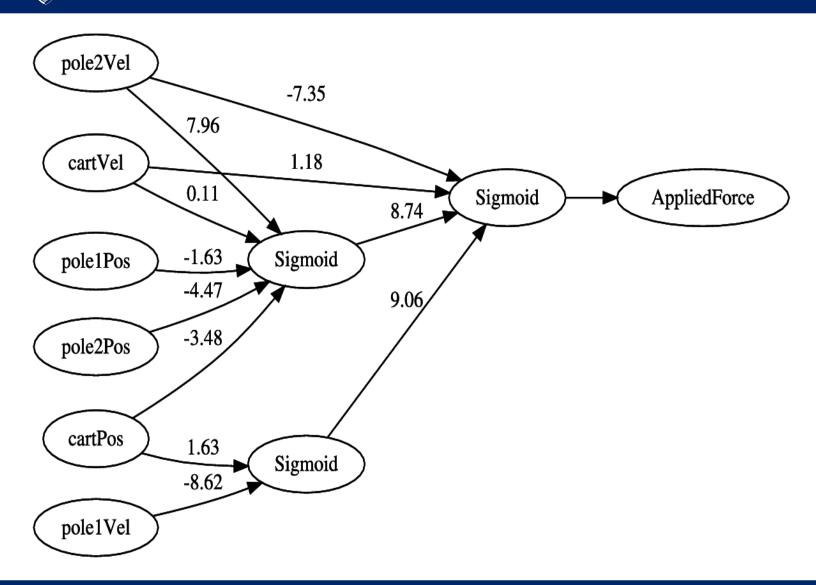
#### Is evolving topology beneficial for neuroEvolution?

- Compare:
  - Only evolving weights of fixed random topologies
  - Only evolving topologies with fixed random weights
  - Evolving both weights and topologies
- Achieved using CGPANN
  - Can evolve only connection weights
  - Can evolve only network topology
  - Can evolve both connection weights and topology





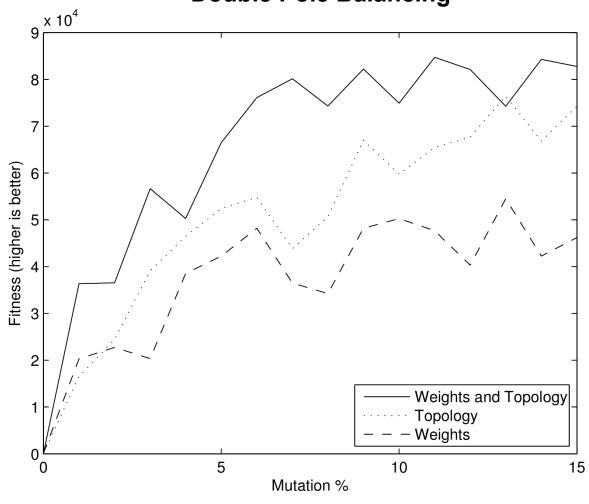
# Network created using CGPANN





### Experiment 2 – Double Pole

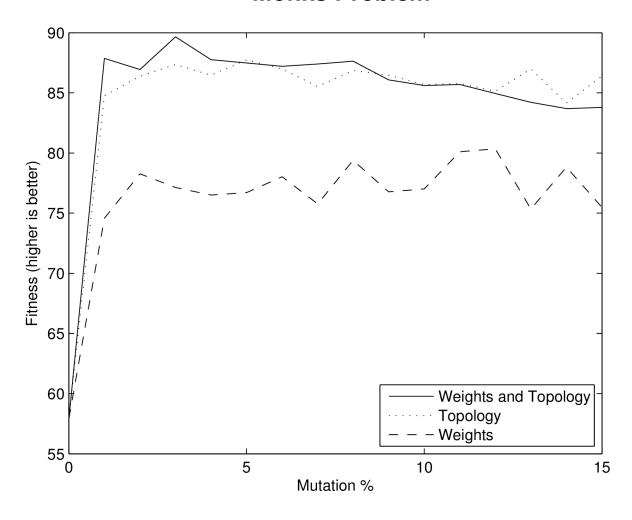








#### **Monks Problem**





### Experiment 2 - Results

Method 1	Method 2	Best	Statistically Significant
Weights & Topology	Weights alone	Weights & Topology	Yes
Weights & Topology	Topology alone	Weights & Topology	No
Topology alone	Weights alone	Topology alone	Yes

- Evolving weights & topology is statistically significantly better than evolving weights alone.
- Evolving topology alone is statically significantly better than evolving weights alone! - surprising!

Statistically significant: U-test p<0.05 & effect size >0.64 (medium)



- 1) Does the choice of topology impact on the effectiveness of weight only NeuroEvolution?
  - Yes Massively!
- 2) Is evolving topology beneficial for neuroEvolution?

Yes - possibly even more so than weights!

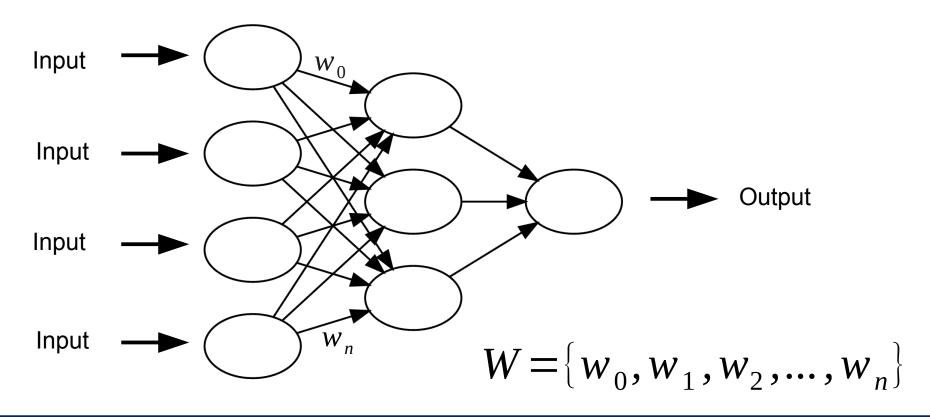


# Questions?



#### Conventional NeuroEvolution

- Simplest and oldest (1990's)
- Only Evolves Connection Weights

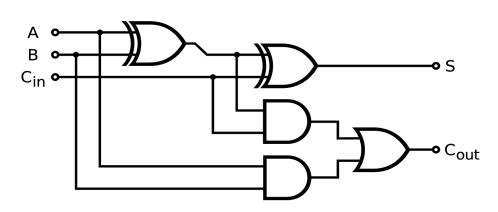




# Cartesian Genetic Programming

#### **Key Point**

- Form of genetic programming
- Uses generic cyclic or acyclic graph structure
- Suited to any data type: ints, floats, images, videos ...
- Can use any node function: XOR, sigmoidal, sin(x) ...
- Inbuilt neutrality enabling neutral genetic drift
- Typically uses a (1+4)-ES
- Mutation only (no crossover)
- Not just for circuits



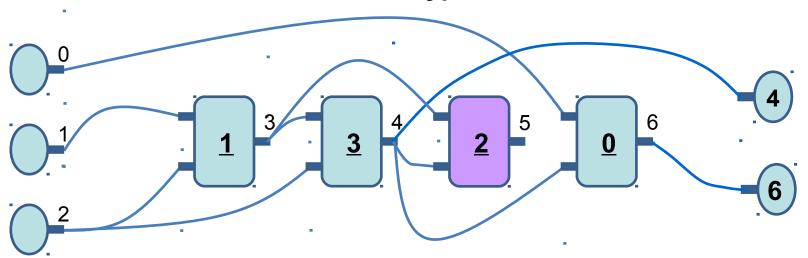


# Cartesian Genetic Programming

#### Genotype

<u>1</u>12 <u>3</u>32 <u>2</u>34 <u>0</u>04 46

#### **Phenotype**





# Cartesian Genetic Programming of Artificial Neural Networks

- Based on Cartesian Genetic Programming (CGP)
  - With the addition of connection weight genes

- Features
  - Evolves connection weights
  - Evolves the number of neurons
  - Evolves the topology
  - Evolves the arity of each neuron